

10/542409

MTS-3576US

PATENT

JC20 Rec'd PCT/PTO 14 JUL 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No: To Be Assigned  
Applicants: Yumiko KATO, et al.  
Filed: Herewith  
Title: BROADCAST RECEIVING METHOD, BROADCAST RECEIVING  
SYSTEM, RECORDING MEDIUM, AND PROGRAM (AS AMENDED)  
TC/A.U.: To Be Assigned  
Examiner: To Be Assigned  
Confirmation No.: To Be Assigned  
Docket No.: MTS-3576US

**INFORMATION DISCLOSURE STATEMENT**

***Mail Stop PCT***

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

S I R :

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO 1449 (R&P) submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese references are not in the English language:

- 1) 2001-258011
- 2) 2002-330422
- 3) 2001-229180
- 4) 2002-290859
- 5) 2001-022373
- 6) 09-016191
- 7) WO 03/021943

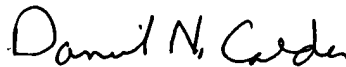
References 1) to 7) have an English language abstract attached.

Reference 7) also has a counterpart English language patent application publication attached and a "concise explanation" under 37 CFR § 1.98(a)(3) is set forth in the background portion of the specification at page 2, lines 15-16 (Japanese patent application No. 2001-258564).

Further, References 1) to 6) were cited in a search report by a foreign Patent Office in a patent application corresponding to the above-identified patent application. A copy of this search report and of the English translation thereof, which indicate the degree of relevance found by the foreign office, are transmitted herewith. MPEP 609 IIIA(3), second paragraph.

This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Respectfully submitted,



Daniel N. Calder, Reg. 27,424  
Attorney for Applicant

DNC/fp

Enclosures: PTO Form 1449 (2 sheets)  
Copies of (8) References  
Japanese Search Report and English translation thereof

Dated: July 14, 2005

P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

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**Date of Deposit: June 14, 2005**

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
KATHLEEN LIBBY

Substitute for Form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 1 of 2

Complete if Known

Application Number

10/542409

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Herewith

First Named Inventor

Yumiko KATO, et al.

Art Unit

To Be Assigned

Examiner Name

To Be Assigned

Attorney Docket No.

MTS-3576US

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (If known)			
		US 2004/0073493 A1 (with counterpart WO 03/021943A1)	04/15/04	Kato et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date (MM-DD- YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (If known)				
		WO 03/021943 A1 (with counterpart US 2004/0073493 A1)	03/13/03	MATSUSHITA ELEC IND CO LTD		<input type="checkbox"/>
		JP 2001-258011 A (with English abstract)	09/21/01	SONY CORP		<input type="checkbox"/>
		JP 2002-330422A (with English abstract)	11/15/02	SONY CORP		<input type="checkbox"/>
		JP 2001-229180 A (with English abstract)	06/24/01	NIPPON TEL & TEL CORP		<input type="checkbox"/>
		JP 2002-290859 A (with English abstract)	10/04/02	SANYO ELECTRIC CO. LTD.		<input type="checkbox"/>
		JP 2001-022373 A (WITH ENGLISH ABSTRACT)	01/26/01	ALPINE ELECTRONICS INC		<input type="checkbox"/>
		JP 09-016191 (with English abstract)	01/17/97	ASAHI CHEM IND CO LTD		<input type="checkbox"/>
						<input type="checkbox"/>
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						<input type="checkbox"/>

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

<sup>1</sup>Applicant's unique citation designation number (optional).

<sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.

<sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

<sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

<sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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SHEET 2 of 2

## NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		International Search Report for PCT/JP2003/017015, dated May 18, 2004, and English translation thereof.	<input checked="" type="checkbox"/>
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<sup>1</sup>Applicant's unique citation designation number (optional).

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